

## **LC State President's Council Summary of January 30, 2023 Meeting**

**Meeting was led by Vice President for Institutional Research and Effectiveness, Dr. Grace Anderson and Mr. Marty Gang, Director/CTO of Information Technology**

President Pemberton shared a brief recap of her week in Boise for Education Week at the Legislature, January 23-27. It was a productive, successful week filled with presentations to JFAC, Senate Education Committee, House Education Committee and making connections with 52 legislators throughout the week.

Director Marty Gang led the group in an interactive exercise about risks, threats, and vulnerabilities, and Dr. Grace Anderson shared specific actions and assessments that will be included in the ad hoc report due to NWCCU on March 1, 2023. (PowerPoint file attached.)

# President's Council Meeting

January 30<sup>th</sup>, 2023

# Plan for Today's Meeting

- GLBA and Risk Assessment
- NWCCU Ad Hoc Report plans and outline



# GLBA

- Gramm-Leach-Bliley Act – Federal Trade Commission
  - 16 CFR 313.3(k)(2)(vi)
- Because we act in a ‘bank’-like fashion, offering and awarding aid packages (\$) to students, we are obligated to comply with regulations normally for financial institutions.
- The GLBA requires financial institutions to explain their information sharing practices to customers and to safeguard sensitive data.



# GLBA Timeline at LC State

- In November, LC State received notice that we were not in compliance with the GLBA.
  - Institution has performed a risk assessment (16 CFR 314.4 (b))
  - Institution has documented a safeguard for each risk identified.



# Next steps

## Step 1 - Internal Risk Assessment

- |           |   |
|-----------|---|
| 1.A.      | Identification of existing college strategic goals and IT strategic goals |
| 1.B.      | Identification of institutional risk appetite                             |
| 1.C.      | Review of IT and cybersecurity policies                                   |
| 1.D.      | Review of LCSC data governance with a focus on:                           |
| 1.D.a.    | Data identification   |
| 1.D.b.    | Data classification   |
| 1.D.c.    | Data policies   |
| 1.D.d.    | Data reporting, including a structured calendar                           |
| 1.D.d.i.  | Identify and map all federal, state, and accreditation-required reporting |
| 1.D.d.ii. | Assign appropriate roles and responsibilities for reports                 |
| 1.D.e.    | Data integrity  |
| 1.D.e.i.  | Data dictionary   |
| 1.D.e.ii. | Data roles (defined and assigned)   |
| 1.D.f.    | Data security   |
| 1.E.      | Identification of institutional assets to include:                        |
| 1.E.a.    | Hardware  |
| 1.E.b.    | Software  |
| 1.E.c.    | External service providers  |
| 1.E.d.    | Personnel with assigned access  |
| 1.E.e.    | Cybersecurity training required by LCSC for employees                     |
| 1.F.      | Identification of key threats and vulnerabilities                         |



# Definitions

- Risk Appetite** The types and amount of risk an organization is willing to accept on a broad level in its pursuit of value. The foundation for building a Risk Appetite is a Risk Assessment.
- Risk Assessment** The process of identifying risks to the college operations (including mission, functions, image, and reputation), organizational assets, individuals, groups, and other community organizations, resulting from the operation of an information system.
- Risk** A measure of the extent to which a potential circumstance or event threatens an entity, and typically a function of (i) the adverse impacts that would arise if the circumstance or event occurs; and (ii) the likelihood of occurrence. Simply, the point where assets, threats, and vulnerabilities intersect.
- Threat** Any circumstance or event with the potential to adversely impact organizational operations, organizational assets, or individuals through an information system via unauthorized access, destruction, disclosure, modification of information, and/or denial of service.
- Vulnerability** Weakness in an information system, system security procedures, internal controls, or implementation that could be exploited or triggered by a threat source.



# Example of Threats

- Data Integrity: Accuracy, completeness & consistency
- Data Access & Storage: Who/how/where
- Data security: FERPA, personnel files, etc.
- Compliance: GLBA, FERPA, HIPAA, GDPR, etc.



# Risk Appetite Foundation Workshop

Today we will participate in an interactive workshop in which participants brainstorm the threats that could impact their unit's ability to meet its objectives.

1. Individually, brainstorm about cybersecurity threats that you see at the college and will write each one on a Post-it Note. (5 minutes)
2. People will categorize each of the risks they identified as Academic, Compliance, Financial, Operational, Reputational, or Strategic by posting them on labeled posterboards situated throughout the room. (5 Minutes)
3. Select one of the six areas and, working with others, further consolidate the risks within each category into thematic areas. (10 minutes)
4. After the group has finished consolidation, or when time has expired, they will identify any vulnerabilities they see for each identified thematic area. (10 minutes)
5. Finally, each group provides a quick report-out on their thematic groupings of risks. These thematic groupings become the first draft of the unit's risk inventory. (15 minutes)



# LC State NWCCU Context & Status

- Mid-cycle review occurred Fall 2021
- Received committee's findings in letter dated February 18<sup>th</sup>, 2022.
  - **Recommendation:** Establish objectives for each core theme and identify meaningful, assessable, and verifiable direct and indirect measures (indicators) of achievement that form the basis for evaluating accomplishment of the objectives of the core themes (2020 Standard(s) 1.B.2).
  - **As elaborated by mid-cycle review team:** Though the college has done an effective job with improving its data capacity and establishing an assessment framework for each core theme with relevant and meaningful measures, the institution needs to finalize the process for overall improvement in institutional effectiveness. As part of the finalizations, assessment results and subsequent action items should be further assessed to “close the loop” and ensure that the appropriated resources produced positive results.
- Institutional response in ad hoc report due March 1<sup>st</sup>, 2023
  - Due for President’s review February

# Plan for LC State Ad Hoc Report

- Explain how we “close the loop” by way of example:
  - President’s Council September 19<sup>th</sup>, 2022:
    - Considered student completions and identify potential equity gaps in students’ achievement (e.g., gender, adult learners, etc.).
    - Discussed students’ barriers to pursuing higher education in current climate and how their capacity to earn college credentials, particularly stackable credentials, like the Liberal Arts Associates degree, may have waned.
  - President’s Council October 24<sup>th</sup>, 2022:
    - Follow-up analysis largely confirmed President’s Council analysis from Sept. meeting.
  - President’s Cabinet November 7<sup>th</sup>, 2022:
    - Investigating the possibility of waiving the graduation application fee when students are earning more than one degree or credential.

# Other Ways LC State Closes the Loop

...as it relates to our mission & core themes.

- Prepare successful leaders:
  - Leadership credential – Do More app
  - Graduate Follow-up Survey results, specifically those items asking students the degree to which their courses prepared them for the future (i.e., the value of their curriculum in the work world).
- Prepare engaged citizens:
  - Confirmation of hands-on experiential learning in strategic plan
- Prepare lifelong learners:
  - Student Survey engagement items, specifically those who reported being interested in leadership experiences but not yet engaged. Connecting students to their areas of leadership interests based upon their survey responses.







**Thank you. Questions?**

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Dr. Fred Chilson

Dr. Grace Anderson